



Investment Research Advisor

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(Please sign across
the photograph)

NAME OF APPLICANT		
FATHER/SPOUSE NAME		
DATE OF BIRTH		
STATUS	RESIDENT INDIVIDUAL	NON RESIDENT
MARITAL STATUS	SINGLE	MARRIED
ADDRESS		
PAN NUMBER (MENDATORY)		
ADHAR CARD NUMBER		
EMAIL ID		
MOBILE NO.		

Date :

Place:

Signature of Applicant

Please note:

1. Risk Profiling to be done by client is compulsory
2. Read all the disclaimer on our website : www.iresearchadvisor.com
3. Investments are subject to market risk. Do your risk profiling and select best investment product.



Risk profiling of the client

- Please note that the questionnaire is only suitable for customers that are comfortable with taking on some form of investment risk. It is not suitable for customers that do not want to take on any risk whatsoever.
- Please tick the option that best describes how you feel about each question. If no option is exactly right for you, choose the one that is closest.

Name:- _____

Gender (M/F):

Basic Information.

1. What is your Age Group?

- Under 30
- 31-45
- 46-60
- 60+

2. What is your marital status?

- Married
- Unmarried

3. How many dependents do you financially support?

- None
- Between 1-3
- 4+

4. Occupation (please select the appropriate)

- Private Job
- Government Job
- Student

5. Gross Annual Income details:-

- Below 1 lac,
- 1-5 lac,
- 5-10 lac,
- 10-25 lac,
- >25 lac



6. Sources of Income

A. Primary Source

- Salary
- Business

B. Secondary Source

- Royalties
- Rental
- Dividend
- Others Specify_____

7. Proposed Investment Amount

- <1 lacs
- 1-2 lacs
- 2-5 lacs
- > 5 lacs

Investment objective including time duration.

8. Investment objective?

- A. To grow Aggressively(9 Point)
- B. To grow significantly (7 Point)
- C. To grow moderately (5 Point)
- D. To grow with caution (3 Point)
- E. To avoid losing money (1 Point)

9. How long do you want to stay invested into market investment?

- A. 0-6 Months (1 Point)
- B. 7-12 Months (3 Point)
- C. 13-24 Months (5 Point)
- D. >24 Months (7 Point)

10. How secure is your current and future income from sources?

- A. Not Secure (1 Point)
- B. Somewhat Secure (3 Point)
- C. Fairly Secure (5 Point)
- D. Very Secure (7 Point)



11. How familiar are you with your investment matters?

- A. Not Familiar at all with Investment and feel uncomfortable with Complexity. (1 Point)
- B. Some What Familiar. I don't fully understand Investments (3 Point)
- C. Fairly Familiar. I understand the various factors which influence Investment Performance (5 Point)
- D. Very Familiar. I use Research to make investment decision. I understand various factors which influence Investment performance. (7 Point)

12. What degree of risk have you taken with your past investment decisions?

- A. High (5 Point)
- B. Medium (3 Point)
- C. Low (1 Point)

13. Assume you had an initial investment portfolio worth Rs 100,000. If, due to market conditions, your portfolio fell to Rs 80,000 within a short period, say a month, would you: (If your portfolio has experienced a drop like this, choose the answer that corresponds to your actual behaviour.)

- A. Sell all of the investments. You do not intend to take risks. (1 point)
- B. Sell a portion of your portfolio to cut your losses and reinvest into more secure investment sectors. (3 point)
- C. Hold the investment and sell nothing, expecting performance to improve. (5 point)
- D. Invest more funds to lower your average investment price. (7 Point)

Existing Investment and Assets

14. What percentage of total net worth you are investing in this Investment Program?

- A. Less than 25% (1 Point)
- B. 26% - 50% (3 Point)
- C. 51% - 75% (5 Point)
- D. 76% - 100% (7 Point)

15. Which of these statements would best describe your attitudes towards performance of this investment?

- A. I don't mind if I lose money (7 Point)
- B. I can tolerate a some loss (5 Point)
- C. I'd have a hard time tolerating any losses (3 Point)
- D. I need to see at least some return (1 Point)

16. What is the condition of your emergency fund?

- A. I do not have (1 Point)
- B. I do not have, but I can manage (3 Point)
- C. I am Maintaining (7 Point)



17. What percentage of monthly income is allocated to pay off debt [all EMIs]?

- A. None (7 Point)
- B. Between 20% - 35% (5 Point)
- C. Between 35% - 45% (3 Point)
- D. > 50% (1 Point)

Analysis

Indicative Investor Profile	Score	Description
Conservative	0-18 Points	Who does not wish to take any investment risk. Your priority is to safeguard your investment capital. You are prepared to forego higher returns for peace of mind.
Balanced	19-40 Points	You prefer a balance between capital growth and capital security. You are prepared to accept some short term risk in order to gain longer term capital growth.
Assertive (Growth)	41-52 Points	You are most interested in maximising long term capital growth, although you do not wish to make unbalanced investment decisions. You are happy to take calculated risks in order to maximise long term capital growth. Tax advantaged investments are a focus.
Aggressive	53-65 Points	You are willing to accept higher performance fluctuations in return for potentially higher long term capital growth. You also have a greater focus on tax advantaged investments and/or leverage of your assets to further improve capital growth potential.
Very Aggressive	66+ Points	Security of capital is secondary to the pursuit of high investment returns. Your portfolio will comprise almost solely of



		growth assets and you are happy to accept the risks this involves.
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Products Available According to Client' Risk Appetite

Client Type	Products
Balance (Low Risk –Low Return)	<ul style="list-style-type: none">➤ Positional Cash (Only in Blue Chip Companies)
Assertive (Growth)	<ul style="list-style-type: none">➤ Intraday Cash➤ Positional Cash (Both Blue chip and Small Cap)➤ F&O Strategies
Aggressive	<ul style="list-style-type: none">➤ Intraday Future➤ Index➤ Intraday Option
Very Aggressive	<ul style="list-style-type: none">➤ Intraday Future➤ Index➤ Intraday MCX Commodities➤ Intraday NCDEX Commodities➤ Option (Writing or Shorting)

Authorized Signature

Compliance Department